

Docket No. 216661US00CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Pascal ARNAUD et al.

SERIAL NO: 09/049,927

GAU: 1625

FILED: March 30, 1998

EXAMINER: HUANG

ANHYDROUS COSMETIC OR DERMATOLOGICAL COMPOSITION CONTAINING THE COMBINATION OF A SILICONE OIL AND A WAX MADE FROM AN ETHYLENE HOMOPOLYMER OR COPOLYMER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☒ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Richard L. Treanor

Registration No. 36,379



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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
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09/049,927

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Pascal ARNAUD, et al.

FILING DATE  
March 30, 1998GROUP  
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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
SAH	AA	5,427,790	06/27/95	Frische, et al.			
	AB	3,970,577	07/20/76	Glück, et al.			
	AC	4,164,563	08/14/79	Chang			
	AD	4,246,257	01/20/81	Elliott, et al.			
	AE	4,874,868	10/17/89	Bolich, Jr.			
	AF	5,002,762	03/26/91	Bolich, Jr. Raymond E.			
	AG	4,199,599	04/22/80	Klein			
	AH	4,011,251	03/08/77	Tjurin, et al.			
	AI	4,574,082	03/04/86	Tietjen, et al.			
	AJ	4,919,934	04/24/90	Deckner, et al.			
	AK	4,518,509	05/21/85	Newberry			
	AL	3,215,599	11/02/65	Thau, et al.			
	AM	2,628,187	02/10/53	Frohman, et al.			
SAH	AN	2,627,938	02/10/53	Frohman, et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO
SAH	AO	1,557,285	12/05/79	Great Britain		
	AP	1,370,699	10/16/74	Great Britain		
	AQ	1,579,934	11/26/80	Great Britain		
	AR	GB 2 028 131 A	03/05/80	Great Britain		
	AS	1,300,223	12/20/72	Great Britain		
	AT	1,373,937	11/13/74	Great Britain		
	AU	1,161,447	08/13/69	Great Britain		
SAH	AV	EP 0 370 470 B1	05/30/90	Europe		

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

SAH	AW	Fiche de données de Securite, Dow Corning® 203 Fluid	date not available
	AX	Paul A. Cadicamo, Strategy for Lipstick Formulation, Makeup Documentary/Formulary, Cosmetics & Toiletries, Vol. 96, April 1981, pp. 55-60	
	AY	Fiche technique No. 22-363D-02, Informations sur le Fluide Dow Corning® 203 Agent de démoulage pour les pièces en caoutchouc, en plastique, et les métaux coulés sous pression, January 1989	
SAH	AZ	A.C. Dweck, Lipstick Moulding Techniques -- Comparison and Statistical Analysis, Makeup Documentary/Formulary, Vol. 96, April 1981, pp. 61-72	date not available

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SA	AA	3,919,357	11/11/75	Keegan, et al.			
	AB	3,645,904	02/29/72	Beach			
	AC	3,196,079	07/20/65	Blaustein			
	AD	5,302,380	04/12/94	Castrogiovanni, et al.			
	AE	5,143,723	09/01/92	Calvo, et al.			
	AF	4,834,972	05/30/89	Chang			
	AG	2,992,201	07/11/61	Gober, Jr.			
	AH	4,200,561	04/29/80	Chang			
	AI	5,085,855	02/04/92	Shore			
	AJ	5,039,518	08/13/91	Barone, et al.			
	AK	5,648,066	07/15/97	Stepniewski			
	AL	4,990,561	02/1991	Yoshioka			
	AM	5,080,889	01/1992	Katada, et al.			
SA	AN	5,102,656	04/1992	Kasat			

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		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO	
SA	AO	EP 0 445 342 B1	09/11/91	Europe		
	AP	1,060,620	03/08/67	Great Britain		
	AQ	EP 0 544 330 A2	06/02/93	Europe		
	AR	EP 0 519 727 A1	12/23/92	Europe		
	AS	1,537,820	01/10/79	Great Britain		
	AT	EP 0 451 786 A2	10/16/91	Europe		
	AU	EP 0 438 598 A1	07/31/91	Europe		
SA	AV	EP 0 270 339 B1	06/08/88	Europe		

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

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<i>JA</i>	AA	5,122,519	06/1992	Ritter			
	AB	5,213,716	05/1993	Patel, et al.			
	AC	4,699,780	10/13/87	Jennings, et al.			
	AD	5,358,719	10/25/94	Mellul, et al.			
	AE	4,022,730	05/10/77	Lewis, et al.			
	AF	4,698,359	10/06/87	Niederer, et al.			
	AG	4,935,228	06/19/90	Finkenauer, et al.			
	AH	5,688,831	11/18/97	El-Nokaly, et al.			
<i>JA</i>	AI	5,356,627	10/18/94	Da Cunha, et al.			
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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
<i>JA</i>	AO	EP 0 497 144 A1	08/05/92	Europe		
	AP	EP 0 547 897 A2	06/23/93	Europe		
	AQ	EP 0 681 830 A1	11/15/95	Europe		
	AR	WO 92/19215	11/12/92	WIPO		
	AS	EP 0 133 964 B1	03/13/85	Europe		
	AT	EP 0 133 963 B1	03/13/85	Europe		
	AU	S62-238212	10/19/87	Japan	Partial Translation	
<i>JA</i>	AV	H1-283209	11/14/89	Japan	Partial Translation	

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

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<i>Int</i>	AO	H4-57894	02/25/92	Japan	Partial Translation	
	AP	H7-48228	02/21/95	Japan	Partial Translation	
	AQ	H3-176411	07/31/91	Japan	Partial Translation	
	AR	S64-79106	03/24/89	Japan	Partial Translation	
	AS	H5-178865	07/20/93	Japan	Partial Translation	
	AT	60-181011	09/14/85	Japan (English Abstract Provided)		X
	AU	JP 04342513	1993	Japan (English Abstract Provided)		X
<i>Int</i>	AV	07-048228	02/21/95	Japan (English Abstract Provided)		X

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

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80	AO	JP 01283209	11/14/89	Japan	<del>X</del>	
	AP	JP 60255714	12/17/85	Japan	<del>X</del>	
	AQ	JP 60248604	05/24/84	Japan	<del>X</del>	
	AR	JP 62169714	07/25/87	Japan	<del>X</del>	
	AS	JP 63183516	07/01/87	Japan	<del>X</del>	
	AT	JP 58-15904	01/29/83	Japan	<del>X</del>	
	AU	JP 01061409	03/08/89	Japan (English abstract provided)		X
84	AV	DD 217530	01/16/85	Germany (English abstract provided)		X

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

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9/15	AO	JP 01006049	01/10/89	Japan (English abstract provided)	<del>_____</del>	<del>X</del>	
	AP	DE 1299788	11/18/60	Germany (English abstract provided)	<del>_____</del>	<del>X</del>	
	AQ	DE 1519376	03/27/65	Germany (English abstract provided)	<del>_____</del>	<del>X</del>	
	AR	WO 92/09263	06/11/92	WIPO	<del>_____</del>	<del>_____</del>	
	AS	EP 0103995 B1	03/28/84	Europe	<del>_____</del>	<del>_____</del>	
	AT	JP62027078	02/05/87	Japan (English abstract provided)	<del>_____</del>	<del>X</del>	
	AU	JP60219274	11/01/85	Japan (English abstract provided)	<del>_____</del>	<del>X</del>	
9/14	AV	PL 126397	09/30/85	Poland (English abstract provided)	<del>_____</del>	<del>X</del>	
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
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## FOREIGN PATENT DOCUMENTS

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<i>St</i>	AO	EP 17119	10/16/80	Europe (English abstract provided)	<del>_____</del>	<del>X</del>
	AP	J01113311	05/02/89	Japan (English abstract provided)	<del>_____</del>	<del>X</del>
	AQ	EP 544,330	06/02/93	Europe (English abstract provided)	<del>_____</del>	<del>X</del>
	AR	JP 07,233,029	09/05/95	Japan (English abstract provided)	<del>_____</del>	<del>X</del>
	AS	JP04041536	02/12/92	Japan (English abstract provided)	<del>_____</del>	<del>X</del>
	AT	JP04026613	01/29/92	Japan (English abstract provided)	<del>_____</del>	<del>X</del>
	AU	JP57031611	02/20/82	Japan (English abstract provided)	<del>_____</del>	<del>X</del>
<i>St</i>	AV	DE1299788	11/18/60	Germany (English abstract provided)	<del>_____</del>	<del>X</del>

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

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<i>See</i>   	AO	JP61073750	04/15/86	Japan (English abstract provided)	<del>_____</del>	<del>X</del>
	AP	JP08129338	09/09/94	Japan (English abstract provided)	<del>_____</del>	<del>X</del>
	AQ	JP08133926	11/10/94	Japan (English abstract provided)	<del>_____</del>	<del>X</del>
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	AS	JP07149613	12/01/93	Japan (English abstract provided)	<del>_____</del>	<del>X</del>
	AT	JP07089844	09/22/93	Japan (English abstract provided)	<del>_____</del>	<del>X</del>
	AU	JP03093708	09/06/89	Japan (English abstract provided)	<del>_____</del>	<del>X</del>
	AV	JP02184614	01/11/89	Japan (English abstract provided)	<del>_____</del>	<del>X</del>

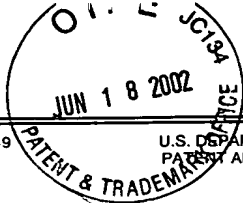
  

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<i>9/20</i>	AO	JP05262618	03/23/92	Japan (English abstract provided)	<del>_____</del>	<del>X</del>
	AP	JP01139522	11/24/87	Japan (English abstract provided)	<del>_____</del>	<del>X</del>
	AQ	JP01149707	12/05/87	Japan (English abstract provided)	<del>_____</del>	<del>X</del>
	AR	JP01061409	08/28/87	Japan (English abstract provided)	<del>_____</del>	<del>X</del>
	AS	JP04142305	10/02/90	Japan (English abstract provided)	<del>_____</del>	<del>X</del>
	AT	JP01143812	06/06/89	Japan (English abstract provided)	<del>_____</del>	<del>X</del>
	AU	JP01079104	03/24/89	Japan (English abstract provided)	<del>_____</del>	<del>X</del>
<i>9/24</i>	AV	JP58015904	01/29/83	Japan (English abstract provided)	<del>_____</del>	<del>X</del>

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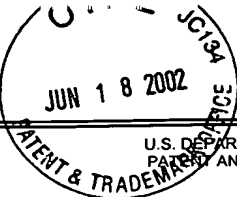
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9/10	AO	JP07179718	12/22/93	Japan (English abstract provided)	<del>_____</del>	X
	AP	JP07179819	11/10/93	Japan (English abstract provided)	<del>_____</del>	X
	AQ	JP 57131272	08/14/82	Japan (English abstract provided)	<del>_____</del>	X
	AR	EP 370470	05/30/90	Europe (English abstract provided)	<del>_____</del>	X
	AS	EP 497,144	08/05/92	Europe (English abstract provided)	<del>_____</del>	X
	AT	EP 547,897	06/23/93	Europe (English abstract provided)	<del>_____</del>	X
	AU	WO 9504517	02/16/95	WIPO (English abstract provided)	<del>_____</del>	X
9/11	AV	WO 9525503	09/28/95	WIPO (English abstract provided)	<del>_____</del>	X

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PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
216661US0 CONTSERIAL NO.  
09/049,927

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<i>SM</i>	AO	WO 9617583	06/13/96	WIPO (English abstract provided)	<del>_____</del>	<del>X</del>
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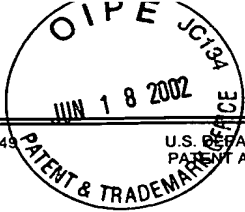
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S. Huey

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ATTY DOCKET NO.

216661US0 CONT

SERIAL NO.

09/049,927

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Examiner

*E. Hucy*

Date Considered

*9/12/02*

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\* *data not available*

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*Se Hwang*

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